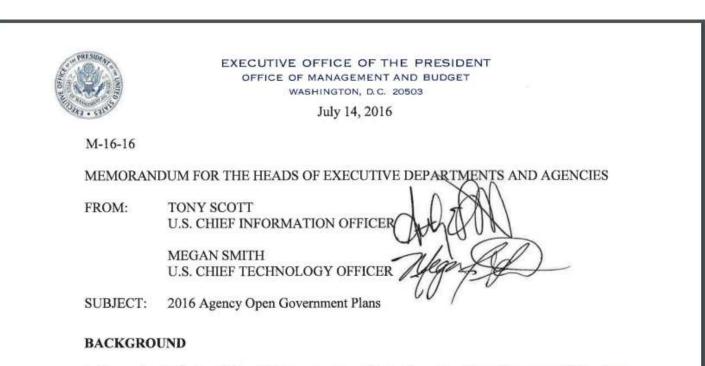


Agency Open Government Plans 2016



Cori Zarek Deputy U.S. Chief Technology Officer Office of Science and Technology Policy

https://www.whitehouse.gov/sites/default/files/omb/memoranda/2016/m-16-16.pdf



In December 2009, the Office of Management and Budget issued an <u>Open Government Directive</u> (M-10-06) instructing executive departments and agencies to take specific actions to incorporate the principles of transparency, participation, and collaboration set forth in the President's January 21, 2009 <u>Memorandum on Transparency and Open Government</u>.

The Open Government Directive established deadlines for agencies to draft individual plans to advance their own open government initiatives and to document major actions taken by agencies to integrate a "presumption of openness" into their core missions. The Open Government Directive requires agencies to update their plans every two years.

This memorandum follows the February 24, 2014 <u>Memorandum for the Heads of Executive</u> <u>Departments and Agencies</u> from the U.S. Chief Technology Officer that provided additional recommendations to agencies to better inform their Open Government Plans for 2014. This memorandum includes supplemental best practices and information to assist agencies in updating their Open Government Plans.

PLAN OVERVIEW

New for 2016:

- Open Innovation Methods
- Access to Scientific Data and Publications
- Open Source Software
- Spending Information
- Website refresh
- Annual progress reports

Key updates for 2016:

- Open Data
- Proactive Disclosures
- FOIA
- Public Participation



Lightning Talks: Civil Society



Susan Harley Public Citizen

PUBLIC CITIZEN:

Suggestions for Agencies on 2016 Open Government Plans

Susan E. Harley, J.D. Deputy Director– Congress Watch



1. BETTER FREEDOM OF INFORMATION ACT (FOIA) COMPLIANCE

- Commend the Office of Management and Budget for including in its guidance a call for agencies to increase proactive disclosures and to better respond to FOIA requests. However, agencies should also:
 - Focus on quickly updating FOIA regulations, especially in light of FOIA Improvement Act of 2016 180 day deadline.
 - DOJ's Office of Information Policy (OIP) should work with Civil Society members and revisit model FOIA regulation.



1. BETTER FREEDOM OF INFORMATION ACT (FOIA) COMPLIANCE, CONT.

- Require all agencies to undertake a FOIA compliance review through the "FOIA Ombudsman," the Office of Government Information Services (OGIS).
 - There are numerous examples of the effectiveness of these reviews on the OGIS website.



2. MORE TRADE TRANSPARENCY

- United States Trade Representative (USTR) to implement the following three suggestions:
 - Publishing textual proposals on rules in ongoing international trade negotiations;
 - Publishing consolidated texts after each round of ongoing negotiations; and
 - Appointing a transparency officer for USTR who is free of conflicts of interests regarding promoting

transparency.



3. COALITION SUPPORT

- Public Citizen is a member of the Make It Safe Coalition and support comments made by Government Accountability Project regarding enhancing Whistleblower protections, especially in the intelligence community.
- We are also a member of the Financial Accountability and Corporate Transparency (FACT) coalition and support comments to OMB made by Global Witness regarding disclosure of true corporate beneficial ownership information through the federal procurement process.





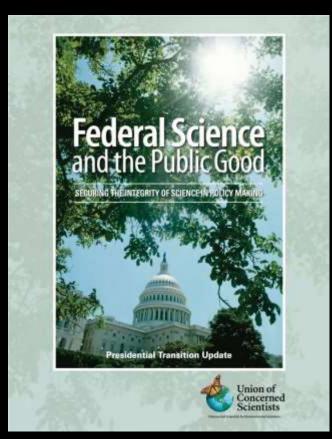
Gretchen Goldman Union of Concerned Scientists

Open Government Plans & Scientific Integrity Policies: Opportunities for Alignment

Gretchen Goldman, PhD

Concerned Scientists

Proactive Disclosure in Science-based Rulemaking

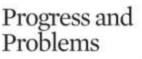


Federal Advisory Committees

- Member biographies
- Conflict of interest waivers
- Peer-review processes
 - Comments
 - Reviewer names in aggregate
- Draft rules

Whistleblower Protections

Agency	Surveys sent	Responses	Rate
CDC	9,896	1,764	17.8%
FDA	11,301	1,866	16.5%
FWS	4,241	981	23.1%
NOAA	12,155	2,388	19.6%
Total	37,593	6,999	18.6%



Government Scientilds Report on Scientific Integrity at Four Agencies



 53 – 75% of respondents across four agencies reported being adequately briefed on their whistleblower protections

www.ucsusa.org/scientistsurvey

Public Participation and Access to Scientific Information

htt

We



vs://www.fws.gov						
ence						
ial Media	More Stories from the Home Page * Sigmas Visit Department of the Interior, Service Offices Service Anglers Share Their Favorites Final Rule for Alaska National Wildlife Refuges Supports Resource Conservation					
Regic Habitat Conservation						
timony	 Black-footed ferrets Return to Ancestors' Stomping Grounds in Wyoming 					
nsportation	Fish and Wildlife Service Federal Register Notices					
unteer	OB/22/16. Management of Non-Federal OII and Gas Rights					
Sands	08/22/16. Endangered and Threatened Wildlife and Plants, 5-Year Status Reviews of 14 Cambbean Species					
id Energy	08/22/16. Request for Nominees for the Advisory Council on Wildlife Trafficking					
	08/16/16: Endangered and Threatened Wildlife and Plants: Designation of Critical Habitat for the Acuifa Cactus and the Fickelsen Plans Cactus					
	O8/18/16. Hunting and Fishing					
	Open Comment Periods All Final Rules All Proposed Rules All Notices					



Gretchen Goldman Union of Concerned Scientists Washington, DC ggoldman@ucsusa.org



Alex Howard Sunlight Foundation

10 Ways To Make Open Government Great Again in 2017



Alexander Howard | @digiphile

SUNLIGHT IS SAID TO BE THE BEST DISINFECTANT..."

- Justice Louis Brandeis

Agency: Office of Director of National Intelligence

Publish ODNI's Open Government Plan and hold the Intelligence Community accountable for implementing it

Agency: Department of State

Commit to holding *all* governments participating in the Open Government Partnership that fall short of democratic norms accountable, including those violating human rights and press freedom, by suspension, sanction and expulsion

Agency: Department of Justice, Defense, DHS

Commit to disclosure of the use of drones, including civilian casualties and legal rationale for their use in domestic and international contexts

Agency: White House

Commit to filling all open agency Inspectors General roles by the end of 2017. Work with Congress to increase oversight, including subpoena powers.

Agency: White House Office of Information and Regulatory Affairs (OIRA)

Release and enforce Americans with Disabilities Act

rules for online services and social media

Agency: Internal Revenue Service

Drive nonprofit adoption of digital e-filing to reduce the approximately 40% of IRS Form 990s filed in non-digital form, enabling disclosure as open data

Agency: National Archives and Records Administration

Commit to combating overclassification and invest in machine-learning to assist in declassification process

Agency: Department of Treasury

Dump the DUNS number. The USA needs an open legal identifier for companies and a public registry of beneficial ownership disclosed as open data.

Agencies: Department of Commerce, EPA, SEC, FTC, Labor

Drive proactive disclosure of data sets using the demand signal in FOIA logs, with initial focus on cyclic commercial requesters

Agency: All!

Apply the Public Participation Playbook to agency open government activities to "dogfood" the principles, expand to rulemaking



Stephen Buckley International Association of Public Participation

IAP2 spectrum

developed by the international association for public participation

	INFORM	CONSULT	INVOLVE	COLLABORATE	EMPOWER
PUBLIC PARTICIPATION GOAL	To provide the public with balanced and objective information to assist them in understanding the problems, alternatives and/or solutions.	To obtain public feedback on analysis, alternatives, and/or decision.	To work directly with the public throughout the process to ensure that public issues and concerns are consistently understood and considered.	To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.	To place final decision-making in the hands of the public.
PROMISE TO THE PUBLIC	We will keep you informed	We will keep you informed, listen to and acknowledge concerns and provide feedback on how public input influenced the decision.	We will work with you to ensure that your concerns and issues are directly reflected in the alternatives developed and provide feedback on how public input influenced the decision.	We will look to you for direct advice and innovation in formulating solutions and incorporate your advise and recommendations into the decisions to the maximum extent possible.	We will implement what you decide.
EXAMPLE TOOLS	 Fact sheets Websites Open Houses 	 Public comment Focus groups Surveys Public meetings 	 Workshops Deliberate polling 	 Citizen advisory committees Consensus- building Participatory decision- making 	 Citizen juries Ballots Delegated decisions

IAP2 core values

IAP2 developed the Core Values for the Practice of Public Participation for use in developing and implementing public participation processes to help inform better decisions that reflect the interests and concerns of potentially affected people and entities. The Core Values were developed with broad international input to identify those aspects of public participation that cross national, cultural and religious boundaries.

- Public participation is based on the belief that those who are affected by a decision have a right to be involved in the decision-making process.
- 2 Public participation includes the promise that the public's contribution will influence the decision.
- Public participation promotes sustainable decisions by recognizing and communicating the needs and interests of all participants, including decision makers.
- Public participation seeks out and facilitates the involvement of those potentially affected by or interested in a decision.

- 5 Public participation seeks input from participants in designing how they participate.
- 6 Public participation provides participants with the information they need to participate in a meaningful way.
- 7 Public participation communicates to participants how their input affected the decision.

The Core Values define expectations and aspirations of the public participation process. Processes based on the Core Values have been shown to be the most successful and respected.



Shanna Devine Government Accountability Project

Whistleblower Protections in NAP 3.0

SHANNA DEVINE, LEGISLATIVE DIRECTOR GOVERNMENT ACCOUNTABILITY PROJECT ON BEHALF OF THE MAKE IT SAFE COALITION



Training & Civil Society Engagement

- OSC 2302(c) Certification Program
- Required by NAP 2.0 and NAP 3.0
- Require training for contractors and grantees

• Civil society partnerships

- × Whistleblower Ombudsman Work Group
- × DOJ OIG
- × IC OIG
- × ODNI
- × USDA OIG
- × SSA OIG
- × HUD
- × DHS
- × OSC

Intelligence Community Whistleblowing

 Presidential Policy Directive Protecting Whistleblowers with Access to Classified Information (PPD-19)

Implementation and training

Insider Threat Training

 "The entities created and the activities directed by this order shall not seek to deter, detect, or mitigate disclosures of information by Government employees or contractors that are lawful under and protected by the Intelligence Community Whistleblower Protection Act of 1998, Whistleblower Protection Act of 1989, Inspector General Act of 1978, or similar statutes, regulations, or policies." - Executive Order 13587, Section 7(e)

End Harmful Practices

 Retaliatory investigations & prosecutions in cases of lawful whistleblowing

Sensitive Job Designations

Positive Reinforcement

Whistleblower Awards Agency Awards





Jesse Franzblau & Emily Manna OpenTheGovernment.org

OPENEC VERNMENT.ORG

Recommendations for the Department of Justice 2016 Open Government Plan

Improving data collection and enhancing transparency in law enforcement

OpenTheGovernment.org

Update national databases

Recommendation: The Office of Justice Programs (OJP) should commit to updating its national databases, by requiring data collection and reporting by state and local law enforcement agencies that benefit from Department of Justice criminal justice grants and programs. This data should be reflective of all police civilian encounters, and include categories for those encounters with people of color, women, and people with disabilities.

Develop federal requirements for body cameras

Recommendation: The DOJ should develop federal policy requirements for police departments to adopt before they receive funding to implement body cameras. The funding should include clear requirements to protect privacy concerns, establish records retention and access policies, and ensure the footage is used appropriately.

Enhance transparency of police use of investigative technologies

Recommendation: The Justice Department should enhance transparency of law enforcement's use of StingRays. This will include remedying data collection loopholes, and require all law enforcement agencies applying for device certification to provide detailed public policies outlining their use.

Additional resources:

Update national databases: *See* Brennan Center for Justice, Success-Oriented Funding: Reforming Federal Criminal Justice Grants (2014): https://www.brennancenter.org/publication/success-oriented-fundingreforming-federal-criminal-justice-grants.

Develop federal requirements for body cameras: *See* The Leadership Conference & Upturn, Policy Body Worn Cameras: A Policy Scorecard, Updated August 2016: https://www.bwcscorecard.org/.

Enhance transparency of police use of investigative technologies: *See* ACLU, Letter for the Record to the House Committee On Oversight Subcommittee On Information Technology, Hearing On "Examining Law Enforcement Use Of Cell Phone Tracking Devices" (Oct. 20, 2015): https://www.aclu.org/letter/aclu-letter-record-house-committee-oversight-subcommittee-information-technology-hearing.



Patrice McDermott Executive Director pmcdermott@openthegovernment.org

Jesse Franzblau Policy Associate jfranzblau@openthegovernment.org Emily Manna Program/Policy Associate emanna@openthegovernment.org

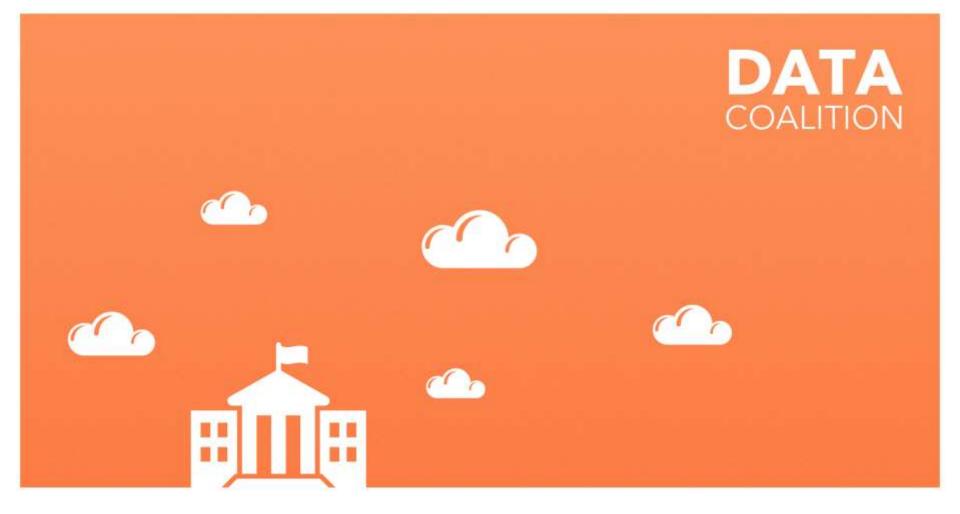
OpenTheGovernment.org



Sean Moulton Project on Government Oversight



Hudson Hollister & Christian Hoehner Data Coalition



2016 Open Government Priorities

Hudson Hollister, Executive Director

Open Data Possibilities



Current

- Federal spending
- DATA Act of 2014

Future

- Fraud analytics
- Open performance
 data





Current

- Financial agencies
- Fin'l Transparency Act (proposed 2015)

Future

 Standardized business reporting

Current

- Legislative text
- Searchable Legislation Act (2016)

Future

 Machine-readable laws & regulations

DATA Act Challenges

Replacing Existing Systems

- Even after DATA Act reporting begins in May 2017, agencies will still report the same information via legacy systems (GTAS, MAX, PIR, FPDS, FAADS, FSRS).
- OMB and Treasury must plan for DATA Act reporting to become the only reporting.

DUNS Number

- The government's reliance on the proprietary DUNS Number to track grantees and contractors means federal spending data can't be truly open.
- GSA's 18F technology team has designed a solution that allows the DUNS Number to be replaced without breaking existing grant- and contract-writing systems.
- The Coalition is campaigning for policy changes that replace the DUNS Number with a non-proprietary code.

Contractor and Grantee Reporting

- OMB has not followed the DATA Act's requirement to test open-data reporting for contractors.
- OMB appointed HHS to create a government-wide open data dictionary for grantee reporting, but that data dictionary is not public yet.



Contact

- Hudson Hollister, Executive Director: <u>hudson.hollister@datacoalition.org</u>
- Christian Hoehner, Policy Director: <u>christian.hoehner@datacoalition.org</u>
- @datacoalition
- datacoalition.org







Eryn Schornick Global Witness

AN URGENT CALL FOR ACTION:

Stop anonymous companies from fleecing American Taxpayers

Eryn Schornick

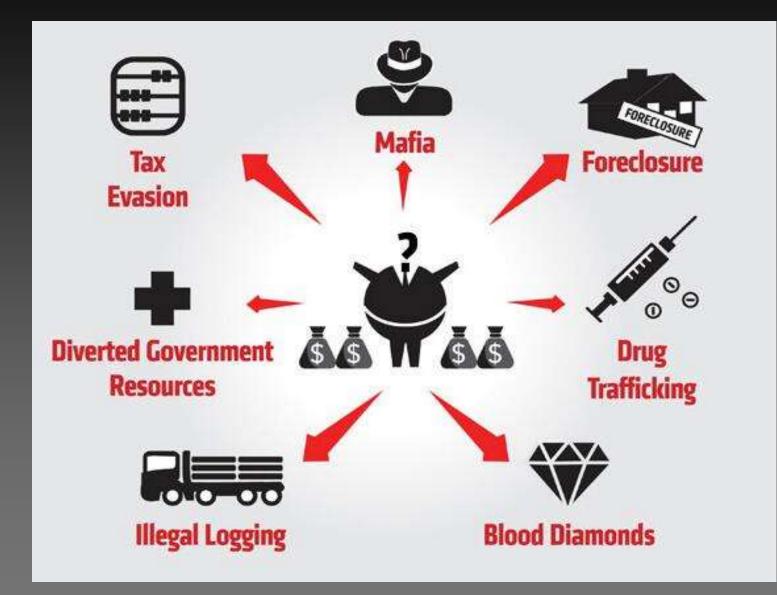
August 23, 2016

www.globalwitness.org



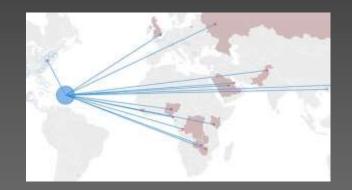


Anonymous companies allow people to hide their identity. Like Russian dolls, you can have one company hidden inside another company.





THE GREAT RIP OFF



http://greatripoffmap.globalwitness.org/#!/



Eryn Schornick (202) 580 - 9711 eschornick@globalwitness.org | @ErynSchornick



global witness



Lightning Talks: Agencies



Michael Toland Department of Commerce

Department of Commerce Open Government Plan

Interagency Open Government Joint Stakeholder Session with Civil Society

Presented on: August 23, 2016



Presented by: Michael Toland, Deputy Director, FOIA/Privacy Act Operations, Office of the Secretary Chief Privacy Officer, Department of Commerce

Phone: (202) 482-3842 email: mtoland@doc.gov



Flagship Initiatives

- New Flagship
 - NOAA Big Data
 - NIST making more Scientific Data Available to the public
- Previous Flagships
 - BusinessUSA moved to General Services Administration
 - FOIAonline moved into routine operations still OS primary project to meet DOJ requirements



Department of Commerce 14 Bureau/Operating Units (BOU)

Bureau of Economic Analysis (BEA)

Collaboration

- Bureau of Industry and Security (BIS)
- Census Bureau (Census)

Participation

- Economic Development Administration (EDA)
- **Economics and Statistics Administration** (ESA)
- International Trade Administration (ITA)
- Minority Business Development Agency (MBDA)
- National Institute of Standards and ٠ Technology (NIST)

- National Oceanic and Atmospheric • Administration (NOAA)
- National Technical Information Service (NTIS)
- National Telecommunications and Information Administration (NTIA)
- Office of the Inspector General (OIG) ٠
- Office of the Secretary (OS)
- Patent and Trademark Office (PTO)



BOU Plan Requirements

- Bureau/Operating Unit (BOU) Initiatives
 - Each BOU reporting 2016 2018 initiatives
 - Continue to update ongoing initiatives
- Additional update
 - Building on previous plans
 - Section of the plan dedicated to each of the 14 BOUs



BOU Plan Requirement (cont.)

- 1. Open Data
- 2. Privacy
- 3. Whistleblower Protection
- 4. Websites
- 5. Transparency
- 6. Public Notice
- 7. Records Management

- Freedom of Information Act (FOIA) Requests
- 9. Proactive Disclosures
- 10. Congressional Requests
- 11. Declassification
- 12. Participation
- 13. Collaboration
- 14. BOU OG Initiatives



Open Government

Transparency Participation Collaboration





Mike Norman Department of Homeland Security

United States Department of Homeland Security **eFOIA Mobile Application**



Mike Norman Director of FOIA Technology

DHS Privacy Office (PRIV) in Collaboration with Office of the Chief Information Officer (OCIO) and Enterprise Systems Development Office (ESDO)



Benefits

• The following benefits will be delivered by the solution:

Improved Customer Service: Provides FOIA requestors with the capability to submit FOIA requests through the mobile channel.



 Seamless Content Delivery: Provides FOIA website operators with the capability to seamlessly deliver mobile content from the website to mobile devices.

 Improved User Engagement: Provides FOIA management with the capability to more effectively engage users through the use of mobile engagement toolsets.



Technology Overview

- Delivered on iPhone and Android Devices (Objective-C and Java)
- Hosted in the DHS Public Cloud Environment
- Leverages a mobile content management and engagement platform
- Innovative approach enables application content to be updated and distributed on-the-fly to mobile devices without the need for application updates



Mobile Application

●●CCO AT&T ♥ (+)

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7 \$ 50%

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Privacy Policy eFOIA Mobile Application

Thank you for downloading the Department of Homeland Security's (DHS) eFOIA mobile application. This privacy policy explains how we handle the personally identifiable information (PII) that you may provide to us when using it. You may provide PII to us by submitting a Freedom of Information Act (FOIA) (5 U.S.C. § 552) or Privacy Act (PA) of 1974

Privacy Policy

(5 U.S.C. § 552a) request through the eFOIA mobile application. We do not require you to provide your PII when using the eFOIA mobile application; however, failure to provide the requested information may delay or prevent DHS from processing your FOIA and/or PA request.

Information Collected

We do not collect PII about you through the eFOIA mobile application unless you choose to provide such information by submitting a FOIA and/or PA request. If you choose to submit a FOIA and/or PA request through the eFOIA mobile application, we request that you provide the following information (data elements marked with an "" are necessary in order for DHS to process your request):

- DHS component that you wish to submit your request to*;
- Title:
- Full name*:
- Suffix:
- Email Address*;
- Country*
- Address*
- Telephone Number:
- Fax Number;

Reject

Accept





Mobile Application Submission Form

Carrier 😤 +

11:38 AM

Privacy Act

Privacy Act Statement eFOIA Mobile Application

Authorities: 5 U.S.C. § 552, 5 U.S.C. § 552a, and 44 U.S.C. § 3101 authorize the collection of this information.

Purpose: DHS will use this information to locate applicable records and to respond to requests made under the Freedom of Information Act (5 U.S.C. § 552) and Privacy Act of 1974 (5 U.S.C. § 552a).

Routine Uses: This information may be used by and disclosed to DHS personnel, contractors, and/ or other agents who need the information to assist in activities related to the processing of your Freedom of Information Act and/or Privacy Act request. Additionally, DHS may use the information, as necessary and authorized by the routine uses published in the DHS/ALL-001 -Department of Homeland Security (DHS) Freedom of Information Act (FIOA) and Privacy Act (PA) Record System February 4, 2014, 79 FR 6609.

Disclosure: Furnishing this information is voluntary: however, failure to provide the information requested may delay or prevent DHS from

Reject

Accept



8:51 AM

Submit Request 1-8

DHS FOIA Submission Form

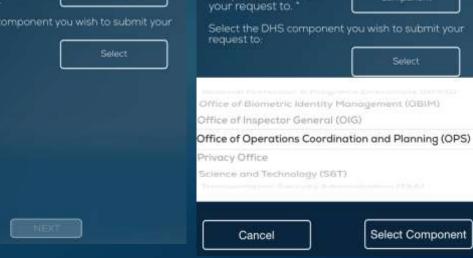
Use this form to make an Electronic FOIA (E-FOIA) request (You may also use this form to make a Privacy Act request.) The use of this form is voluntary. If you choose to submit a FOIA request electronically, you must complete the fields marked with an "". If you do not enter accurate or required information, we may be unable to fulfill your FOIA request. To learn how we use the information you provide, please read our Privacy Policy

DHS Component

Select either button seen here for additional information, if you are unsure which office or component to submit your request to.

Office Of The Secretary				
Component				

Select the DHS component you wish to submit your request to



DHS Component

Select either button

seen here for additional

information, if you are

unsure which office or

component to submit

Carrier 😤

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Submit Request 1-8

Use this form to make an Electronic FOIA (E-FOIA)

voluntary. If you choose to submit a FOIA request

electronically, you must complete the fields marked with an *** If you do not enter accurate or required

information, we may be unable to fulfill your FOIA.

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Office Of The Secretary

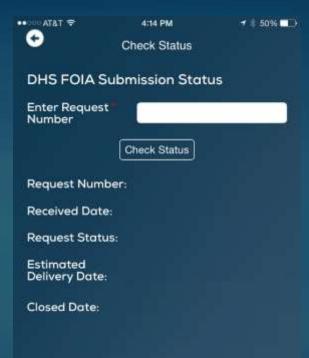
request (You may also use this form to make a Privacy Act request.) The use of this form is

DHS FOIA Submission Form

provide, please read our Privacy Policy



Mobile Application Check Status





Statistics From July 2015 – July 2016

- Downloads
 - 2,316 Total
 - -IOS = 1,520
 - Android = 796
- Submission Form
 - Average 5 per month
- Check Status
 - Average 137 per month





Melanie Pustay Department of Justice



UNITED STATES DEPARTMENT of JUSTICE

Department of Justice 2016 Open Government Plan



FOIA Initiatives for DOJ's 2016 Plan

- Implementation of a "Release to One, Release to All" policy.
- Launch of the consolidated FOIA portal.
- Development of Self-Assessment Toolkit.









"Release to One, Release to All"

In July 2015, OIP launched a pilot program with volunteer agencies to assess the viability of a policy that would entail routine online posting of agency FOIA responses.

In connection with the signing of the FOIA Improvement Act of 2016, the President directed that guidance on this presumption be issued by January 1, 2017.



Consolidated FOIA Portal

- Looking to leverage existing government FOIA technologies and resources such as FOIAonline and openFOIA to launch a consolidated portal on FOIA.gov.
- Portal will be built iteratively in phases with goal of adding various levels of functionality in addition to central request making capabilities.

Goals for Consolidated FOIA Portal

- Consolidated, multi-agency presence on FOIA.gov.
- Guided process to assist public in finding the right agency to submit a request to.
- Structured request forms for each agency.
- > Online tracking for submitted requests.
- Search function for all federal websites and released FOIA documents.
- > Ability of agencies to update their own content.
- Robust, online based FOIA reporting tools.



Self-Assessment Toolkit

OIP has encouraged agencies to conduct self-assessments and internal reviews of their FOIA programs.

OIP will be releasing a self-assessment questionnaire as the first part of a larger FOIA Self-Assessment Toolkit.



UNITED STATES DEPARTMENT of JUSTICE

Thank you for your time!



Andre Goodfriend Department of State



The Department of State's 2016 Open Government Plan

"The Government continually depends upon the support of Congress and the People, and that support can be expected only in the condition of keeping them thoroughly and truthfully informed of the manner in which the powers derived from them are executed."

> William Henry Seward Secretary of State, 1861

It was written into the same legislation that established the Department of State, and has been continuously strengthened

1789 – Establishment of the State Department Library (participation)

1861 – Foreign Relations of the United States (transparency)

1880 – Registration of American Citizens (collaboration)

1967 – Launching of the Secretary's Open Forum (participation)

1977 – Publication of the Human Rights Report (transparency)

1992-93 – "No Double Standard" policy (transparency)

Collaboration

A/OPR/OS	Overseas Schools
CGFS & L/M	Advisory Councils, Commissions, Committees and Boards
DS	Overseas Security Advisory Council (OSAC)
E/ENR	Sustainable Energy for All initiative
EB	Direct Line for American Business
EB/IFD/ODF	Business Information Database System (BIDS)
INR/GGI/HIU	Commercial Satellite Imagery Requests
INR/GGI/HIU	Secondarycities.state.gov
INR/GGI/HIU & R/IIP	Imagery to the Crowd and MapGive
J/TIP	Trafficking in Persons International Grants Program
R/ECA/A/L	English Language Programs
S/CT/CVE	Countering Violent Extremism
S/GAC	Harnessing the Data Revolution for Sustainable Development
S/GAC	President's Emergency Plan for AIDS Relief (PEPFAR)
S/GFS	Feed the Future
S/GP	Public-Private Partnership Programs
IRM/BMP/eDIP	Virtual Fellows Program (VFP)
IRM/BMP/eDIP	Virtual Student Foriegn Service
T/PM	Strategy Lab

Public Participation

A/GIS/IPS/LIBR	Ralph J. Bunche Library
J/GCJ	War Crimes Rewards Program
L/M and A/GIS/DIR	Public Notice (e.g. Regulations.gov)
M/HR/PAS	Non-Career "Political" Presidental Appointments
R/CSCC	The Center for Strategic Counterterrorism
R/ECA	Exchange Programs
R/IIP	Live Digital Engagement
R/IIP	Official blogs of U.S. Missions Around the World
R/IIP	TechCamps
R/PA/ODE	
	blogs.state.gov/Dipnote
R/PA/ODE and R/IIP	Micro-blogging
R/PA/ODE and R/IIP	Social Networking Websites
R/PA/ODE and R/IIP	Video Sharing
S/GP	Diplomacy Lab
S/OF	Secertary's Open Forum
PRM	PRM-funded Research and Evaluation

Transparency

CA/P & CA/OCS/CI CA/P & CA/PPT CA/P & CA/VO/L CA/VO/L/R D/BP D/BP EB/IFD/OIA	Submissions to the Federal Register FAIR Act Inventory Foreign Affairs Manual Declassification Programs Freedom of Information Act (FOIA) Privacy Records Management Proactive Disclosures Per Diem, Allowances and Standardized Regulation Consular Information Program Wait Time for Visa Appointments and Processing U.S. Citizen Deaths Overseas Intercountry Adoption Statistics US Passport Application and Issuance Statistics Visa Statistics Visa Reciprocity & Civ Document requirement by Country Agency Financial Report Perf plans & repts, budget docs & Agency Fin Repts Investment Climate Statements Portional Coogrambic Broducts	M/HR/RMA OIG PM/DDTC PM/DDTC PM/DDTC R/IIP/PL R/PA/HO R/PA/HO R/PA/ODE R/PA/ODE R/PA/ODE R/PA/ODE R/PA/OPR R/PA/OPR R/PA/WM R/PA/WM R/PA/WM & R/IIP R/PPR & CA/P S/CT S/OCR F J/DRL M/HR/PAS M/DPI	Press Briefings and Interviews Department of State by State Map Open Source Platform for State.gov & Embassy Websites Public Engagement Country Reports on Terrorism Whistleblower Protection ForeignAssistance.gov HumanRights.gov Certificates of Competency

Open Government Plan Flagship Initiatives 2010-2014

2014 Flagship Initiatives

- Innovating with Geographic Data (New)
 - MapGive
 - Imagery to the Crowd
 - Department of State by State Map
- Embracing Technologies and Platforms to Increase Public Access to Information (New)
 - Improving Public Access to Air Quality Information
 - Open Source Platform for State.gov
- Virtual Student Foreign Service (original 2010 Flagship Initiative)
- Foreignassistance.gov
- 2012 Flagship Initiatives
 - HumanRights.gov (original 2010 Flagship Initiative)
 - Passport Card Application Pilot
 - My State Department
 - Official Document Authentication
 - Electronic Payment of ITAR Registration Fees

- Harnessing the Data Revolution for Sustainable Development

- MapGive
- Imagery to the Crowd
- Improving Public Access to Air Quality Information
- Open Source Platform for State.gov

- Foreignassistance.gov
- Secondarycities.state.gov
- FIND
- Sustainable Energy for All
- Feed the Future
- Advance Democracy and Human Rights, Strengthening Civil Society
 - Exchange Programs
 - TechCamps
 - HumanRights.gov
- Modernize the Way We Do Diplomacy and Development
 - Virtual Fellows Program
 - Virtual Student Foreign Service
 - Strategy Lab
 - Live Digital Engagement
 - GovUP



Ann Peterson Department of Transportation





Open Government Plan v4.0

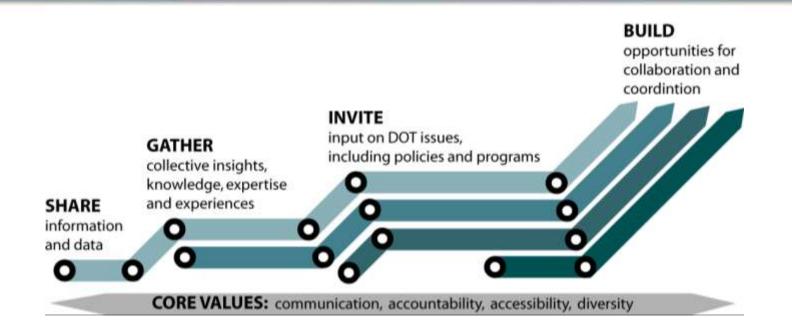
23 August 2016

Furthering efforts around transparency, participation, and collaboration



U.S. DEPARTMENT OF TRANSPORTATION 1200 NEW JERSEY AVENUE, SE WASHINGTON, DC 20590 202-366-4000

Open Government @ DOT



- Public Engagement is how we do business
- We are constantly engaging with the public, DOT stakeholders, and civil society
- Our Open Government Plan v4.0 includes:
 - Several new and ongoing initiatives that reflect public input
 - Updates on government-wide efforts

U.S. Department of Transportation

Open Data, Proactive Disclosure, and more





A

- National Address Database
- National Transit Map

•	Public Engagement Calendar –					
	coming soon to					
	www.transportation.gov/engage					



DATA Act

BETTER DATA. BETTER DECISIONS. BETTER GOVERNMENT.

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U.S. Department of Transportation

Transportation.gov

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Public Participation and Collaboration



- Ladders Pledge
- Every Place Counts Challenge

Mayor's Challenge for Safer People and Safer Streets

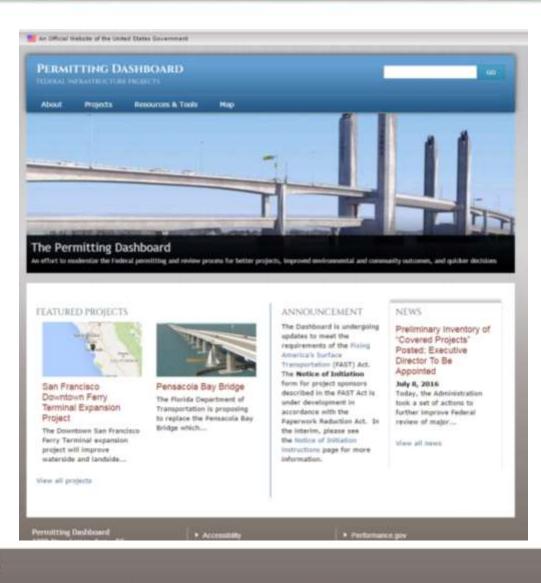


Civil Rights and ADA Complaint Form



U.S. Department of Transportation

Flagship: Permitting Dashboard



U.S. Department of Transportation

2

Links and Contact Information

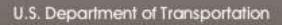
- Open Government at DOT: <u>https://www.transportation.gov/open</u>
- Progress Reports:

https://www.transportation.gov/mission/open/evaluating-our-progress

• Proactive Disclosure Plan:

<u>https://www.transportation.gov/mission/open/plans-increasing-public-availability-information-about-agency-operations</u>

 Contact Us: Email: <u>open@dot.gov</u> Twitter: <u>@USDOT</u> Facebook: www.facebook.com/USDOT





Wendy Blake-Coleman Environmental Protection Agency

EPA Open Government Plan 4.0

August 23, 2016



New and Expanded Open Government Reporting Requirements

• A. Open Data

- Enterprise Information Management Policy (EIMP)
- EIMP Data Lifecycle Management Procedure
- Quality Program
- Scientific Data Management Program
- Environmental Dataset Gateway (EDG)
- System of Registries (SoR)
- API Strategy
- Public Access/Envirofacts
- Developer Central
- Facility Registry Service (FRS)
- Controlled Unclassified Information (CUI) Program
- Data Analytics Program
- B. Proactive Disclosures
 - FOIAonline
 - ChemView
- C. Privacy
 - Privacy Program

- D. Whistleblower Protection
 - Whistleblower Protection Ombudsman Program
- E. Websites
 - Website Management
- F. Open Innovation Methods
 - GreenSpark Program
 - Innovation Team
- G. Access to Scientific Data and Publications
 - Public Access Plan
 - Health and Environmental Research Online (HERO) Website
 - Tox21
- H. Open Source Software
 - Digital Services Program
 - Reusable Component Service (RCS)
 - E-Manifest
- I. Spending Information
 - DATA Act Implementation



Ongoing Open Government Reporting Requirements

- A. Participation in Transparency Initiatives
 - Data.gov
 - eRulemaking Program / Regulations.gov
 - IT Dashboard
 - Grants.gov
 - Catalog of Federal Domestic Assistance (CFDA.gov)
 - FSRS.gov
 - USAspending.gov
 - Notice of Intent to Sue EPA
- B. Public Notice
- C. Records Management
 - Records Program
- D. Freedom of Information Act (FOIA) Requests
 - FOIA Program
- E. Congressional Requests
 - Office of Congressional Affairs

- F. Declassification
 - TSCA Voluntary Declassification Challenge Program
- G. Public Participation
 - Public Participation Opportunities Promoted via the Public Affairs Office
 - EPA's Public Participation Guide
- H. Collaboration
 - Central Data Exchange/ National Environmental Information Exchange Network (NEIEN)
 - SharePoint
 - EPA GeoPlatform
 - Environmental Data Platform (EDP)
 - Facility Identification Integrated Project Team
 - Inter-Governmental Data Partnerships
- I. Flagship Initiative
 - AirNow-Domestic and International Expansion
 - Enforcement and Compliance History Online
 - Pesticides Label Matching Project
 - E-Enterprise for the Environment



Summary

- EPA is doing a lot to internally operationalize the principles of open government -- transparency, participation, and collaboration
- Plan will be posted on EPA Open Initiative Homepage for review and comments
 - <u>https://www.epa.gov/open</u>



Sarah Mackey Federal Trade Commission

FEDERAL TRADE COMMISSION

- In 2012-2013, the FTC conducted its first challenge the <u>FTC Robocall</u> <u>Challenge</u> — and called upon the public to develop a consumer-facing solution that blocks illegal robocalls, applies to landlines and mobile phones.
- The following year at <u>DEF CON 22</u>, the FTC launched its second challenge — Zapping Rachel — which called upon information security experts to help create a robust robocall honeypot.
- As part of the National Day of Civic Hacking on June 6, 2015, the FTC sponsored its third challenge, <u>DectectaRobo</u>, in which it called upon the public to analyze call data to create algorithms that could predict which calls were likely robocalls.
- Later in 2015, the FTC returned to <u>DEF CON</u>23 to challenge information security experts to create tools people could use to block and forward robocalls automatically to a honeypot.



Justin Herman and Idris Odunewu General Services Administration

GSA Open Government

Justin Herman and Idris Odunewu



Enables us to create tools that deliver insights on topics ranging from education and health to entrepreneurship and foreign aid.

Data.gov features:

- Over 180,000 data catalogs
- Federal, State, and Local agencies
- Examples of applications powered by open data:
 - FoodKeeper, Where are the jobs?, SNAP retail locator

How do **YOU** use open data? Share your open data story: <u>www.data.gov/meta/your-open-data-story/</u>

US Public Participation Playbook

Guides federal agencies with best practices and sample performance metrics to build/evaluate programs that empowers the voice of citizens

- Participation.USA.gov features 13 universal "plays" for building meaningful feedback loops.
- **Currently collecting tangible case studies** from agencies that use the plays to evaluate and report their Participation programs.
- Organizing a federal work shop to analyze case studies and determine next steps for improving Public Participation.

Submit ideas at: <u>Participation.USA.gov</u>

- Exploring a new Open Government ideation dashboard for submitting, commenting on, annotating and tracking the approval of our projects and initiatives.
- In the meantime we're creating multiple paths to participation in our projects, and want to hear your ideas.



Meredith Stewart National Archives and Records Administration

NATIONAL Archives

OPEN GOVERNMENT LIGHTNING TALK PAMELA WRIGHT, CHIEF INNOVATION OFFICER @PAMELASW 8/23/16



HISTORY HUB

		History Hub beta A support community managed by the National Archives for researchers, citizen historians, archival professionals, and open government advocates				
HOW CAN WE HEL	12	New to	the community? Start here			
Type your qu						
Submit	WHAT IS HISTORY HUB		FWD HULF		CITIZEN HISTORIANS	
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	Open Government Transparency, Participation, Collaboration, Weigh in on open government antilatives.	X.	Military Records Help for civilians and veterans seeking military records		Transcribers Meet other offices archivists who transcribe documents in the National Archives Catalog and find or poot new transcription chalerges.	*

HISTORY HUB

Beth Kruse Jan 14, 2016 11:29 AM

stanDo.

Are there records breaking down Civil War military prison numbers of held, released, & died by years? By locations?

This question is Not Answered.

58 Views

2 HELPFUI

I am researching Civil War POW issues. I would like to be able to compare the numbers in & out of the military prisons after Francis Lieber's *General Orders No. 100* were issued in April 1863. At this point, I only find total numbers for prison for the duration of the war. I would think by now these statistics have been compiled most likely by the War Department.

Michael Pierce Jan 14, 2016 11:59 AM (in response to Beth Kruse)

Re: Are there records breaking down Civil War military prison numbers of held, released, & died by years? By locations?

Howdy Beth:

I know the records are available on microfilm. The microfilm is original ledgers, etc.. Let me poke around and I'll see if I can locate more info. You'll probably hear, also, from some of the folks with the NARA Civil War Roundtable.

Mikey

2 of 2 people found this helpful

Actions 👻

☆Helpful Yes | No 🖞 Like (2) 📮 Reply

FROM GATE KEEPERS TO GARDENERS





CITIZEN CENTRIC

- Smithsonian
- Library of Congress
- State Archives
- Educators
- Students
- Researchers
- Genealogists
- Developers
- Artists
- We Don't Know Yet!



Beth Beck NASA

NASA Open Gov Plan 2016





Beth Beck and Jason Duley NASA's Open Innovation Team

Open.NASA.gov





What describes you best?









Govvie



Citizen Scientist

Developer

Citizen Activist

Curlous



Beth Beck and Jason Duley NASA's Open Innovation Team

What's New Since 2014



- Open Innovation Platforms: Citizen Science, NASA Solve, Center of Excellence for Collaborative Innovation
- Open Data platforms: open.NASA, data.NASA, code.NASA, api.NASA, spaceappschallenge.org
- Women in Data Initiatives: Data Bootcamp, Datanauts
- Federally Funded Scientific Research Data Initiative [NEW research portal!!]
- Code Sharing
- Small Business Innovation Research/Small Business Technology Transfer



Public Access to Results

NASA has developed an agency plan, and associated policy, outlining a framework for activities to increase public access to scientific publications and digital scientific data resulting from NASA-funded research.

Historical & Ongoing Activities



We'll provide a matrix for easy reference, with an update on progress to date.

Freedom of Information Act: 2010, 2012, 2014, 2016 Congressional requests: 2010, 2012, 2016 Declassification: 2010, 2014, 2016 Records Management: 2010, 2014, 2016 Procurement: 2010 Web Initiatives: 2010, 2012, 2014, 2016 (Flagship in 2012) NASA TV: 2010 **Education Activities: 2010** Space Communication and Navigation: 2010 Centennial Challenges: 2010 Space Act agreements: 2010 Tech Transfer: 2010 Engineering Network and NASA Technical Report Server: 2010 NASA and Data.gov: 2010, 2016 Financial Transparency: 2010, 2016 Access and Utilization of NASA Science Data: 2010, 2016 Office of the Chief Information Officer: 2010 Open Innovation Projects: 2010, 2016 Open Data: 2012, 2014, 2016 Open Source Software: 2012, 2014, 2016 Technology Accelerators: 2012 Prizes and Challenges: 2012 Citizen Science: 2012, 2016

Educational Infrastructure: 2010 Zero Robotics: 2010 IT Labs: 2012 PhoneSat: 2012 Center of Excellence for Collaborative Innovation: 2012, 2016 Scientific and Technical Information: 2012 Collaborative Spaces: 2012 Open Government Directory: 2012 Collaborative Code Repository: 2014, 2016 Digital Strategy: 2014 Proactive Disclosures: 2014, 2016 Public Notice: 2014, 2016 Privacy: 2014, 2016 Whistleblower Protection: 2014, 2016

FLAGSHIPS: Open Source Software Development: 2010, 2016 Nebula [NO LONGER ACTIVE]: 2010 Participatory Exploration Office: 2010 NASA Web Environment: 2012 2014, 2016 Climate Data Initiative: 2012 NASA Information Architecture and Management: 2014 Asteroid Grand Challenge: 2014

We've only just begun!





Beth Beck and Jason Duley NASA's Open Innovation Team



Jose Munoz NSF



National Science Foundation Open Government Plan 4.0 Updated Areas:

- Two new flagship initiatives:
 - SEI
 - tool to help potential grad students find colleges
- 10 New datasets
 - All (but one) existing datasets updated
- NSF public access to pubs: both funded and internal
- NSF public access to data: NSF process updated
- NSF open source software approach presented
- Updated several stats such as number of reviewers, proposals, etc.

General cleaning up and tightening up of the document



NSF 4.0 Flagship Initiatives

- Science and Engineering Indicators (SEI)
 - SEI NSB report comes out every two years
 - For the first time this is now digital AND interactive
 - Both national and international data on the health/status of the science and engineering ecosystem
 - www.nsf.gov/statistics/indicators/
 - www.nsf.gov/statistics/digest/
- Tools to help students identify candidate under-/graduate schools (jointly with the National Science and Technology Council)

<u>http://stemgradstudents.science.gov/</u>



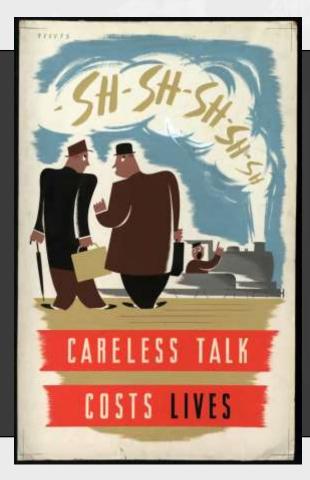
Michael Thomas Office of the Director of National Intelligence

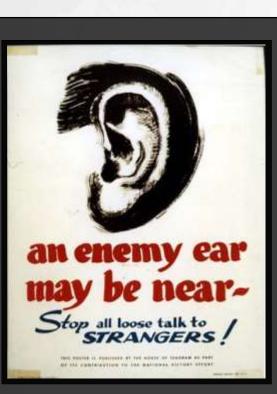
IC TRANSPARENCY UPDATE OPEN GOV MEETING AUGUST 23, 2016

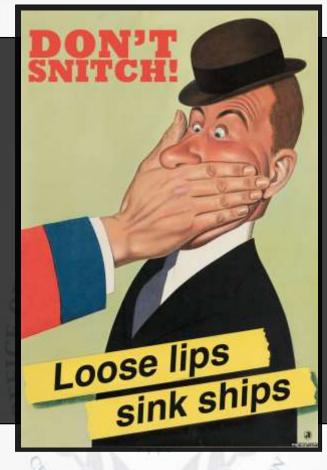
Office of the Director of National Intelligence

Michael Thomas | Michael.thomas@dni.gov









12 AUGA DECADE OF INTEORN

The Mandate



"For our intelligence community to be effective over the long haul, we must maintain the trust of the American people, and people around the world.... We will reform programs and procedures in place to provide greater transparency to our surveillance activities...." – President Obama, January 17, 2014





DNI James Clapper announced the IC's Transparency Implementation Plan at the *Ethos and the Profession of Intelligence Conference* at GWU on October 27, 2015

Transparency Plan

- Developed by IC-Wide Working Group of Senior Staff
- Coordinated by ODNI Civil Liberties & Protection Officer
- Reflects Transparency Mandate in the 2014 National Intelligence Strategy
- Goal is Institutionalizing Transparency



Looking Ahead...

- IC Transparency Working Group
 Formalized into Permanent Council
- IC Agencies and Elements Establishing Appropriate Transparency Structures
- Prioritize for Action Initiatives Identified in the IC Transparency Plan
- Establish a Unified Intelligence
 Community Open Government Plan



THE OPEN GOVERNMENT PARTNERSHIP

THIRD OPEN GOVERNMENT NATIONAL ACTION PLAN FOR THE UNITED STATES OF AMERICA

October 27, 2015

DECADE OF INTER



Jeff Kwiecinski Peace Corps



OPEN GOVERNMENT PLAN

Office of Strategic Information, Research and Planning Jeff Kwiecinski

August 23, 2016

2014 Peace Corps Open Government Plan

Accomplishments during the last two years include:

- Issued a Peace Corps open data policy, including the appointment of an agency open data coordinator.
- Launched a new peacecorps.gov website, which makes the Peace Corps more transparent, participatory, and collaborative to audiences through the technology platforms they use:
 - · Website now compatible with any mobile device
 - Publish the Peace Corps full data set of Volunteer job openings on its website
 - Volunteers can now more easily share their experiences with the American people
 - · Social media integrated into website to facilitate two-way communications
- Made the following Peace Corps annual survey data and reports available on the Peace Corps Open Government webpage:
 - 2015 Global Counterpart Survey Summary Report
 - 2015 Host Country Staff Survey Summary Report and tabular data
 - 2015 Annual Volunteer Survey (AVS) reports for each of the agency's overseas posts
 - 2009 to 2015 AVS global tabular data reports
 - 2013 and 2014 AVS Voice of the Volunteer report
 - Made the following Peace Corps administrative data and reports available on the Peace Corps Open Government webpage:
 - FY 2007 to 2015 early termination data by country and year
 - FY 2003 to 2012 early termination data by country and Volunteer cohort
 - FY 2012 to 2015 global early termination reports
 - FY 2009 to 2015 on-board strength (OBS) by post, age, ethnicity, gender, and sector data



2016 Peace Corps Open Government Plan

Initiatives planned for the next two years include:

- Reduce and ultimately eliminate the backlog of FOIA requests
 - Processing time during the current fiscal year (2016) is improving due to staff additions and cross-training with existing staff
 - A process study was conducted to identify key bottlenecks. Several changes have already been implemented and are now helping to improve FOIA request process times and reduce the backlog of requests
- Continue to refine the agency data inventory and identify additional analytical-ready data sets for publishing on the Peace Corps Open Government website
- Expand the Peace Corps Information and Communication Technologies for Development (ICT4D) initiative by building a Peace Corps Digital Repository for Volunteers and the communities they serve in order to facilitate knowledge sharing for community-based development
- Continue to intensify the Peace Corps presence on social media and electronic media, such as, <u>Facebook</u>, <u>Twitter</u>, <u>Instagram</u> and <u>YouTube</u>, to regularly inform the public about its activities, Volunteers, and other agency news
- Participate in GSA's Website Digital Analytics Program (DAP) by sharing agency website visitor data on a federal platform to contribute to a governmentwide view of how well agencies serve their customers through the Web. The Peace Corps participation, with 39 other agencies, will make website data more accessible and create new possibilities for agencies to collaborate to improve digital services
- June 2015 the Peace Corps launched a self-service RPCV Portal where returned Peace Corps Volunteers can join, update their contact information, and opt in to receive RPCV and Peace Corps updates, and other information. Planned enhancements to this portal include publishing a list of RPCVs' names, countries of service, and years of service. This information will be accessible to anyone whether or not they were a Peace Corps Volunteer





https://www.peacecorps .gov/about/opengovernment/



Peace Corps





Linda McCaw Social Security Administration

Social Security Administration August 23, 2016

Open Government Plan 4.0

- We will publish Open Government Plan 4.0 by September 15, 2016.
- It will follow the general format of our prior three plans that are publicly available at -
 - <u>https://www.ssa.gov/open/story-2014-06-01-open-government-plan3.html</u>
 - <u>https://www.ssa.gov/open/story-2012-04-09-open-government-plan2.html</u>
 - <u>https://www.ssa.gov/open/story-2010-06-24-open-government-plan.html</u>

Plan Progress Charts

- Progress charts for our previous Open Government plans are available at –
 - <u>Open Government Plan 3.0 Plan Milestones and</u> <u>Completion Report.</u> (Will refresh in 9/2016)
 - <u>Open Government Plan 2.0 Plan Milestones and</u> <u>Completion Report.</u>
 - <u>Open Government Plan 1.0 Plan Milestones and</u> <u>Completion Report.</u>

OGP Plan 4.0

- This plan will address all the items in:
 M-16-16, Agency Open Government Plan
- We have used both internal and <u>public</u> <u>engagements</u> in developing the plan.
- We published a plan outline in April 2016. The outline is available at
 - <u>https://www.ssa.gov/open/plan4.odraft.html</u>

Proposed Flagship -National Disability Forum

- It meets the open government principle of participation—it includes members of the medical community, government agency representatives, private companies, advocates, representatives, and people with disabilities.
- Purpose—to gain insight on issues with the greatest potential for improving the lives of people with disabilities.
- The disability program is part of our core mission. Other initiatives related to disability:
 - Improving the way we obtain medical evidence so that we can make decisions sooner.
 - Work incentives for the disabled who want to return to work.

Baking It In

- We also outline our continued efforts on:
 - Open Data Cap Goal
 - Digital Government Strategy
 - FOIA
- Build sustainability of open government principles through strategic planning - <u>Vision</u> <u>2025</u>.
- Questions ?



Fred Ames & Tiffany Enoch Office of the U.S. Trade Representative

USTR 2016 Flagship Initiative

Trade Promotion Authority (TPA)

What is TPA?

- A partnership between Congress and the Administration that ensures the United States secures the most effective trade agreements possible
- Brings greater transparency to the negotiating process by empowering Congress to conduct vigorous oversight and hold the administration accountable
- Defines U.S. negotiating objectives and priorities for trade agreements and establishes consultation and notification requirements for the President to follow throughout the negotiation process

Through transparency, guarantees Congress a meaningful role in trade negotiations

Every member of Congress is able to:

- Read Negotiating Text: Allows every member of Congress to read the negotiating text.
- **Receive Detailed Briefings:** Requires the U.S. Trade Representative's office to brief any interested member on the status of negotiations at any time.
- Attend Negotiating Rounds: Allows any member to become a "congressional adviser" and as a result eligible to attend negotiating rounds.
- **Provide Guidance:** Creates House and Senate Advisory Groups to oversee negotiations and receive regular briefings. Any member can submit views.
- **Coordinate with Public and Advisory Committees:** Lays down guidelines on public engagement and sharing information with advisory committees.
- **Receive adequate time to review the deal:** Requires the President to publish the text of a completed trade agreement 60 days before signing it.
- Consult with the Transparency Officer.

Calls for public engagement guidelines

- Requires the USTR to develop written guidelines on public access to information regarding negotiations to
 - Facilitate transparency,
 - Encourage public participation, and
 - Promote collaboration in the negotiation process.
- The guidelines shall include procedures that
 - Provide for rapid disclosure of information in forms that the public can readily find and use and
 - Provide frequent opportunities for public input.

USTR guidelines for consultation and engagement

www.ustr.gov/sites/default/files/USTR%20Guidelines%20for%20Consu Itation%20and%20Engagement.pdf



Open Discussion