

An algebraic formulation of dependent type theory

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Goal and acknowledgements

We will do two things:

- ▶ Give a syntactic reformulation of type theory in the usual style of name-free type theory.
- ▶ Define the corresponding algebraic objects, called **E-systems**, in a category with finite limits.

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For many insights in the current presentation I am grateful to

- ▶ Vladimir Voevodsky
- ▶ Richard Garner
- ▶ Steve Awodey

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- ▶ The theory is algebraic and the operations on it are homomorphisms. The wish that operations are homomorphisms tells which judgmental equalities to require.
- ▶ Finite set of rules. The theory is invariant under slicing as a consequence, rather than by convention. There will be no rule schemes.

The scope of the theory

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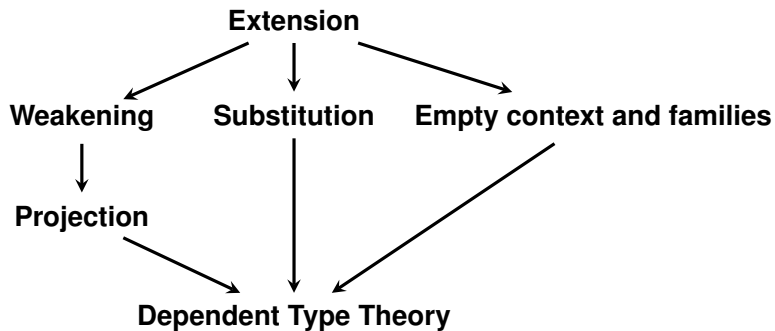
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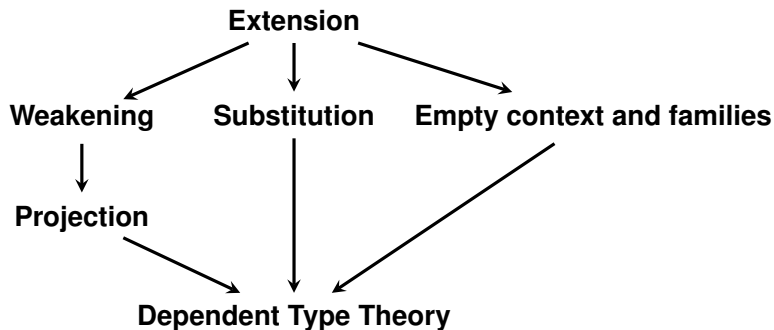
In a way similar to the definition of E-systems, it is possible to define **internal E-systems**. One of the aims of this research was to have a systematic treatment of internal models.

- ▶ Nothing more than plain dependent type theory is needed to express what an internal E-system is.

Overview of the theories



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The theories of weakening (and projections), substitution and the empty context and families are formulated as three independent theories on top of the theory of extension.

The basic judgments

 $\vdash \Gamma \text{ ctx}$ $\Gamma \vdash A \text{ fam}$ $\Gamma \vdash a : A$ $\vdash \Gamma \equiv \Delta \text{ ctx}$ $\Gamma \vdash A \equiv B \text{ fam}$ $\Gamma \vdash a \equiv b : A.$

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An example of a conversion rule:

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The fundamental structure of E-systems

The **fundamental structure of an E-system CFT** in a category with finite limits consists of

$$\begin{array}{c} T \\ \downarrow \partial \\ F \\ \downarrow \text{ft} \\ C \end{array}$$

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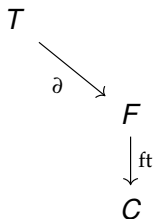
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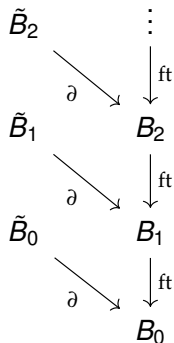
- ▶ The object C represents the sort of contexts.
- ▶ The object F represents the sort of families.
- ▶ Every family has a context, this is represented by $\text{ft} : F \rightarrow C$.
- ▶ The object T represents the sort of terms.
- ▶ Every term has a family associated to it, this is represented by $\partial : T \rightarrow F$.

Comparison with B-systems

The fundamental structure of E-systems:



The underlying structure of B-systems:



Rules for extension

Introduction rules for **context extension**:

$$\frac{\Gamma \vdash A \text{ fam}}{\vdash \Gamma.A \text{ ctx}}$$

$$\frac{\vdash \Gamma \equiv \Delta \text{ ctx} \quad \Gamma \vdash A \equiv B \text{ fam}}{\vdash \Gamma.A \equiv \Delta.B \text{ ctx}}$$

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Extension is associative:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.A \vdash P \text{ fam}}{\vdash (\Gamma.A).P \equiv \Gamma.(A.P) \text{ ctx}}$$
$$\frac{\Gamma.A \vdash P \text{ fam} \quad (\Gamma.A).P \vdash Q \text{ fam}}{\Gamma \vdash A.(P.Q) \equiv (A.P).Q \text{ fam}}$$

Pre-extension algebras

A **pre-extension algebra CFT** in a category with finite limits consists

- ▶ a fundamental structure CFT, and
- ▶ context extension and family extension operations

$$e_0 : F \rightarrow C$$

$$e_1 : F \times_{e_0, \text{ft}} F \rightarrow F,$$

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Thus, we will additionally require that we have a commuting diagram

$$\begin{array}{ccc} F \times_{e_0, \text{ft}} F & \xrightarrow{e_1} & F \\ \pi_1(e_0, \text{ft}) \downarrow & & \downarrow \text{ft} \\ F & \xrightarrow{\text{ft}} & C \end{array}$$

Notation for pre-extension algebras

We introduce the following notation:

$$F_2 := F \times_{e_0, ft} F$$

$$ft_2 := \pi_1(e_0, ft) : F_2 \rightarrow F$$

$$F_3 := F_2 \times_{e_1, ft_2} F_2$$

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Then it follows that the outer square in the diagram

$$\begin{array}{ccccc} F_3 & \xrightarrow{\pi_2(e_0, ft) \times_{e_0, ft} \pi_2(e_0, ft)} & F_2 & & \\ \downarrow ft_3 & \searrow e_2 & \downarrow ft_2 & & \downarrow e_1 \\ & & F & \xrightarrow{\pi_2(e_0, ft)} & F \\ & & \downarrow ft_2 & & \downarrow ft \\ F_2 & \xrightarrow{ft_2} & F & \xrightarrow{e_0} & C \end{array}$$

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commutes. We define e_2 to be the unique morphism rendering the above diagram commutative.

Extension algebras

An **extension algebra** is a pre-extension algebra CFT for which the diagrams

$$\begin{array}{ccc} F_2 & \xrightarrow{e_1} & F \\ \pi_2(e_0, ft) \downarrow & & \downarrow e_0 \\ F & \xrightarrow{e_0} & C \end{array}$$

$$\begin{array}{ccc} F_3 & \xrightarrow{e_2} & F_2 \\ \pi_2(e_1, ft_2) \downarrow & & \downarrow e_1 \\ F_2 & \xrightarrow{e_1} & F \end{array}$$

commute.

- ▶ These diagrams implement associativity of extension.

Subgoal: develop properties of extension algebras

We need to know:

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- ▶ What homomorphisms of (pre-)extension algebras are.
- ▶ That each extension algebra gives locally an extension algebra (stable under slicing).
- ▶ That the change of base of an extension is an extension algebra. Change of base allows for '**parametrized extension homomorphisms**' of which weakening and substitution are going to be examples.

Pre-extension homomorphisms

Let CFT and CFT' be pre-extension algebras. A **pre-extension homomorphism f from CFT' to CFT** is a triple (f_0, f_1, f^t) consisting of morphisms

$$\begin{array}{ccc} T' & \xrightarrow{f^t} & T \\ \partial' \downarrow & & \downarrow \partial \\ F' & \xrightarrow{f_1} & F \\ ft' \downarrow & & \downarrow ft \\ C' & \xrightarrow{f_0} & C \end{array}$$

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such that the indicated squares commute, for which furthermore the squares

$$\begin{array}{ccc} F' & \xrightarrow{f_1} & F \\ e'_0 \downarrow & & \downarrow e_0 \\ C' & \xrightarrow{f_0} & C \end{array} \quad \text{and} \quad \begin{array}{ccc} F'_2 & \xrightarrow{f_1 \times_{e_0, \text{ft}} f_1} & F_2 \\ e'_1 \downarrow & & \downarrow e_1 \\ F' & \xrightarrow{f_1} & F \end{array}$$

commute.

Extension homomorphisms

Definition

A pre-extension homomorphism between extension algebras is called an **extension homomorphism**.

Slicing of pre-extension algebras

Suppose that CFT is a pre-extension algebra. Then we define the pre-extension algebra \mathbf{F}_{CFT} to consist of the fundamental structure

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where

$$T_2 := F \times_{e_0, \text{ft}_0 \partial} T, \quad \partial_2 := e_0^*(\partial),$$

with the extension operations

$$e_1 : F_2 \rightarrow F, \quad e_2 : F_3 \rightarrow F_2.$$

Local extension algebras

Theorem

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Lemma

Let CFT be a pre-extension algebra. Then CFT is an extension algebra if and only if we have the extension homomorphisms

$\mathbf{e}_0 : \mathbf{F}_{\text{CFT}} \rightarrow \text{CFT}$ and $\mathbf{e}_1 : \mathbf{F}_{\mathbf{F}_{\text{CFT}}} \rightarrow \mathbf{F}_{\text{CFT}}$ given by

$$\begin{array}{ccc} T_2 & \xrightarrow{\pi_2(e_0, ft \circ \partial)} & T \\ \partial_2 \downarrow & & \downarrow \partial \\ F_2 & \xrightarrow{\pi_2(e_0, ft)} & F \\ ft_2 \downarrow & & \downarrow ft \\ F & \xrightarrow{e_0} & C \end{array} \quad \text{and} \quad \begin{array}{ccc} T_3 & \xrightarrow{\pi_2(e_1, ft_2 \circ \partial_2)} & T_2 \\ \partial_3 \downarrow & & \downarrow \partial_2 \\ F_3 & \xrightarrow{\pi_2(e_1, ft_2)} & F_2 \\ ft_3 \downarrow & & \downarrow ft_2 \\ F_2 & \xrightarrow{e_1} & F \end{array}$$

Condensed commutative diagrams

Suppose $f : \text{CFT} \rightarrow \text{CFT}'$ is a pre-extension homomorphism. We say that a diagram

$$\begin{array}{ccc} \text{CFT} & \xrightarrow{f} & \text{CFT}' \\ p \downarrow & & \downarrow p' \\ X & \xrightarrow{g} & Y \end{array}$$

commutes if the diagram

$$\begin{array}{ccc} C & \xrightarrow{f_0} & C' \\ p \downarrow & & \downarrow p' \\ X & \xrightarrow{g} & Y \end{array}$$

commutes.

Change of base of pre-extension algebras

Let CFT be a pre-extension algebra and consider $p : C \rightarrow X \leftarrow Y : g$. Then there is a pre-extension algebra $Y \times_{g,p} \text{CFT}$ with projections, such that for every diagram

$$\begin{array}{ccccc} \text{CFT}' & & & & \\ & \searrow^{[p',f]} & & \searrow^f & \\ & Y \times_{g,p} \text{CFT} & \xrightarrow{\pi_2(g,p)} & \text{CFT} & \\ & \downarrow \pi_1(g,p) & & \downarrow p & \\ & Y & \xrightarrow{g} & X & \end{array}$$

The diagram shows a commutative square with an additional arrow. The top-left node is CFT' . The top-right node is CFT . The bottom-left node is Y . The bottom-right node is X . A solid arrow f goes from CFT' to CFT . A solid arrow p' goes from CFT' to Y . A solid arrow $\pi_2(g,p)$ goes from $Y \times_{g,p} \text{CFT}$ to CFT . A solid arrow $\pi_1(g,p)$ goes from $Y \times_{g,p} \text{CFT}$ to Y . A solid arrow g goes from Y to X . A solid arrow p goes from CFT to X . A dotted arrow $[p',f]$ goes from CFT' to $Y \times_{g,p} \text{CFT}$.

of which the outer square commutes, the pre-extension homomorphism $[p', f]$ exists and is unique with the property that it renders the diagram commutative.

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- ▶ Weakening will preserve itself, so weakening we will require that weakening is a pre-weakening homomorphism.

Rules for the theory of weakening

The introduction rules for weakening:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma \vdash B \text{ fam}}{\Gamma.A \vdash \langle A \rangle B \text{ fam}}$$

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Weakening preserves extension:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash Q \text{ fam}}{\Gamma.A \vdash \langle A \rangle (B.Q) \equiv (\langle A \rangle B).\langle A \rangle Q \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad (\Gamma.B).Q \vdash R \text{ fam}}{(\Gamma.A).\langle A \rangle B \vdash \langle A \rangle (Q.R) \equiv (\langle A \rangle Q).\langle A \rangle R \text{ fam}}$$

The weakening operation

Let CFT be an extension algebra. A **weakening operation on CFT** is an extension homomorphism

$$\mathbf{w}(\text{CFT}) : F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\text{CFT}} \rightarrow \mathbf{F}_{\text{F}_{\text{CFT}}}$$

for which the diagram

$$\begin{array}{ccc} F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\text{CFT}} & \xrightarrow{\mathbf{w}(\text{CFT})} & \mathbf{F}_{\text{F}_{\text{CFT}}} \\ & \searrow \pi_1(\text{ft}, \text{ft}) & \downarrow \text{ft}_2 \\ & & F \end{array}$$

commutes.

Rules for weakening: Currying

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.A \vdash P \text{ fam} \quad \Gamma \vdash B \text{ fam}}{(\Gamma.A).P \vdash \langle A.P \rangle B \equiv \langle P \rangle \langle A \rangle B \text{ fam}}$$

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$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.A \vdash P \text{ fam} \quad \Gamma.B \vdash Q \text{ fam}}{((\Gamma.A).P).\langle P \rangle \langle A \rangle B \vdash \langle A.P \rangle Q \equiv \langle P \rangle \langle A \rangle Q \text{ fam}}$$

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.A \vdash P \text{ fam} \quad \Gamma.B \vdash g : Q}{((\Gamma.A).P).\langle P \rangle \langle A \rangle B \vdash \langle A.P \rangle g \equiv \langle P \rangle \langle A \rangle g : \langle P \rangle \langle A \rangle Q}$$

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$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.A \vdash P \text{ fam} \quad \Gamma.B \vdash g : Q}{((\Gamma.A).P).\langle P \rangle \langle A \rangle B \vdash \langle A.P \rangle g \equiv \langle P \rangle \langle A \rangle g : \langle P \rangle \langle A \rangle Q}$$

To express these rules algebraically, we need to define a weakening operation on \mathbf{F}_{CFT} , provided we have one on CFT.

Weakening for the families algebra

Let CFT be an extension algebra with weakening operation $\mathbf{w}(\text{CFT})$. Then \mathbf{F}_{CFT} has the weakening operation $\mathbf{w}(\mathbf{F}_{\text{CFT}})$ which is uniquely determined by rendering the diagram

$$\begin{array}{ccccc}
 F_2 \times_{\text{ft}_2, \text{ft}_2} \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\beta_1 \times_{\text{ft}, \text{ft}} \beta} & F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\text{CFT}} & \xrightarrow{\mathbf{w}(\text{CFT})} & \mathbf{F}_{\mathbf{F}_{\text{CFT}}} \\
 & \searrow \mathbf{w}(\mathbf{F}_{\text{CFT}}) & & & \downarrow \beta \\
 & & \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\beta_2} & \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\beta} & \mathbf{F}_{\text{CFT}} \\
 & & \text{ft}_3 \downarrow & & \downarrow \text{ft}_2 & & \downarrow \text{ft} \\
 & \searrow \pi_1(\text{ft}_2, \text{ft}_2) & F_2 & \xrightarrow{e_1} & F & \xrightarrow{e_0} & C
 \end{array}$$

commutative.

Pre-weakening algebras

A **pre-weakening algebra** is an extension algebra CFT with a weakening operation $\mathbf{w}(\text{CFT}) : F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\text{CFT}} \rightarrow \mathbf{F}_{\text{F}_{\text{CFT}}}$ for which the diagram

$$\begin{array}{ccc} F_2 \times_{\text{ft} \circ \text{ft}_2, \text{ft}} \mathbf{F}_{\text{CFT}} & \xrightarrow{[\pi_1(\text{ft} \circ \text{ft}_2, \text{ft}), \mathbf{w}(\text{CFT}) \circ (\text{ft}_2 \times_{\text{ft}, \text{ft}} \text{id}_{\mathbf{F}_{\text{CFT}}})]} & F_2 \times_{\text{ft}_2, \text{ft}_2} \mathbf{F}_{\text{CFT}} \\ & \searrow & \downarrow \mathbf{w}(\mathbf{F}_{\text{CFT}}) \\ & & \mathbf{F}_{\mathbf{F}_{\text{CFT}}} \\ & \xrightarrow{[\pi_1(\text{ft} \circ \text{ft}_2, \text{ft}), \mathbf{w}(\text{CFT}) \circ (\mathbf{e}_1 \times_{\text{ft}, \text{ft}} \text{id}_{\mathbf{F}_{\text{CFT}}})]} & \end{array}$$

commutes (implementing currying for weakening).

Pre-weakening homomorphisms

A **pre-weakening homomorphism** between pre-weakening algebras \mathbf{CFT}' and \mathbf{CFT} is an extension homomorphism $f : \mathbf{CFT}' \rightarrow \mathbf{CFT}$ such that additionally the diagram

$$\begin{array}{ccc} F' \times_{\text{ft}', \text{ft}'} \mathbf{F}_{\mathbf{CFT}'} & \xrightarrow{f_1 \times_{\text{ft}, \text{ft}} \mathbf{F}_f} & F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\mathbf{CFT}} \\ \mathbf{w}(\mathbf{CFT}') \downarrow & & \downarrow \mathbf{w}(\mathbf{CFT}) \\ \mathbf{F}_{\mathbf{CFT}'} & \xrightarrow{\mathbf{F}_{F_f}} & \mathbf{F}_{\mathbf{CFT}} \end{array}$$

commutes.

Change of base of pre-weakening algebras

Let \mathbf{CFT} be a pre-weakening algebra and consider $p : \mathcal{C} \rightarrow \mathcal{X} \leftarrow \mathcal{Y} : g$. Then we define

$$\mathbf{w}(Y \times_{g,p} \mathbf{CFT}) : (Y \times_{g,p \circ \text{ft}} F) \times_{g^*(\text{ft}), g^*(\text{ft})} \mathbf{F}_{Y \times_{g,p} \mathbf{CFT}} \rightarrow \mathbf{F}_{Y \times_{g,p} \mathbf{CFT}}$$

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to be the unique extension homomorphism rendering the diagram

$$\begin{array}{ccccc}
 (Y \times_{g,p \circ \text{ft}} F) \times_{g^*(\text{ft}),g^*(\text{ft})} \mathbf{F}_{Y \times_{g,p} \mathbf{CFT}} & \xrightarrow{\pi_2(g,p \circ \text{ft}) \times_{\text{ft},\text{ft}} \pi_2(g,p \circ \text{ft})} & F \times_{\text{ft},\text{ft}} \mathbf{F}_{\mathbf{CFT}} & \xrightarrow{\mathbf{w}(\mathbf{CFT})} & \mathbf{F}_{\mathbf{CFT}} \\
 & \searrow^{\mathbf{w}(Y \times_{g,p} \mathbf{CFT})} & & & \downarrow \beta \\
 & & \mathbf{F}_{Y \times_{g,p} \mathbf{CFT}} & \xrightarrow{\beta} & \mathbf{F}_{Y \times_{g,p} \mathbf{CFT}} & \xrightarrow{\pi_2(g,p \circ \text{ft})} & \mathbf{F}_{\mathbf{CFT}} \\
 & & \downarrow g^*(\text{ft})_2 & & \downarrow g^*(\text{ft}) & & \downarrow \text{ft} \\
 & \searrow^{\pi_1(g^*(\text{ft}),g^*(\text{ft}))} & Y \times_{g,p \circ \text{ft}} F & \xrightarrow{Y \times_{g,p} e_0} & Y \times_{g,p} C & \xrightarrow{\pi_2(g,p)} & C
 \end{array}$$

commutative.

Properties of pre-weakening algebras

Theorem

If CFT is a pre-weakening algebra and $p : C \rightarrow X \leftarrow Y : g$, then $Y \times_{g,p} \text{CFT}$ is also a pre-weakening algebra.

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Theorem

If \mathbf{CFT} is a pre-weakening algebra, then so is $\mathbf{F}_{\mathbf{CFT}}$.

Weakening algebras

Thus, it makes sense to require that the weakening operation itself is a pre-weakening homomorphism.

Definition

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Theorem

If CFT is a weakening algebra, then so is \mathbf{F}_{CFT} .

Rules for weakening: weakening preserves itself

The requirement that weakening is itself a pre-weakening homomorphism is represented by the following inference rules which we impose on weakening:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash Q \text{ fam} \quad \Gamma.B \vdash R \text{ fam}}{((\Gamma.A). \langle A \rangle B). \langle A \rangle Q \vdash \langle A \rangle \langle Q \rangle R \equiv \langle \langle A \rangle Q \rangle \langle A \rangle R \text{ fam}}$$

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$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash Q \text{ fam} \quad (\Gamma.B).R \vdash S \text{ fam}}{(((\Gamma.A).\langle A \rangle B).\langle A \rangle Q).\langle A \rangle \langle Q \rangle R \vdash \langle A \rangle \langle Q \rangle S \equiv \langle \langle A \rangle Q \rangle \langle A \rangle S \text{ fam}}$$

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash Q \text{ fam} \quad (\Gamma.B).R \vdash k : S}{(((\Gamma.A).\langle A \rangle B).\langle A \rangle Q).\langle A \rangle \langle Q \rangle R \vdash \langle A \rangle \langle Q \rangle k \equiv \langle \langle A \rangle Q \rangle \langle A \rangle k : \langle A \rangle \langle Q \rangle S}$$

Overview of the theory of projections

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- ▶ Together with weakening, units will induce all the **projections**.
- ▶ **Pre-projection algebras** will be weakening algebras with units.
- ▶ **Projection algebras** will be pre-projection algebras for which weakening is a pre-projection homomorphism.

Rules for the theory of projections

Introduction rules for units:

$$\frac{\Gamma \vdash A \text{ fam}}{\Gamma.A \vdash \text{id}_A : \langle A \rangle A}$$

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Pre-projection algebras

A **pre-projection algebra** is a weakening algebra CFT for which there is a term $\mathbf{i}(\text{CFT}) : F \rightarrow T_2$ such that the diagram

$$\begin{array}{ccc} F & \xrightarrow{\mathbf{i}(\text{CFT})} & T_2 \\ \Delta_{\text{ft}} \downarrow & & \downarrow \partial_2 \\ F \times_{\text{ft}, \text{ft}} F & \xrightarrow{w(\text{CFT})_0} & F_2 \end{array}$$

commutes. In this diagram, $\Delta_{\text{ft}} : F \rightarrow F \times_{\text{ft}, \text{ft}} F$ is the diagonal.

Pre-projection homomorphisms

A **pre-projection homomorphism from CFT to CFT'** is a weakening homomorphism $f : \text{CFT}' \rightarrow \text{CFT}$ such that the square

$$\begin{array}{ccc} T'_2 & \xrightarrow{f'_2} & T_2 \\ \text{i}(\text{CFT}') \uparrow & & \uparrow \text{i}(\text{CFT}) \\ F' & \xrightarrow{f_1} & F \end{array}$$

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If CFT is a projection algebra and $p : C \rightarrow X \leftarrow Y : g$, then $Y \times_{g,p} \text{CFT}$ is also a projection algebra.

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- ▶ Substitution will be compatible with extension, thus it will be implemented as an extension homomorphism.
- ▶ **Pre-substitution algebras** will be extension algebras with a substitution operation. **Pre-substitution homomorphisms** will preserve this structure.
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Rules for the theory of substitution

Introduction rules for substitution:

$$\frac{\Gamma \vdash a : A \quad \Gamma.A \vdash P \text{ fam}}{\Gamma \vdash P[a] \text{ fam}}$$

$$\frac{\Gamma \vdash a \equiv a' : A \quad \Gamma.A \vdash P \equiv P' \text{ fam}}{\Gamma \vdash P[a] \equiv P'[a'] \text{ fam}}$$

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$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam}}{\Gamma.(P[a]) \vdash Q[a] \text{ fam}}$$

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$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash g : Q}{\Gamma.(P[a]) \vdash g[a] : Q[a]}$$

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$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash g : Q}{\Gamma.(P[a]) \vdash g[a] : Q[a]}$$

$$\frac{\Gamma \vdash a \equiv a' : A \quad (\Gamma.A).P \vdash g \equiv g' : Q}{\Gamma.(P[a]) \vdash g[a] \equiv g'[a'] : Q[a]}$$

Substitution is compatible with extension:

$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam}}{\Gamma \vdash (P.Q)[a] \equiv P[a].(Q[a]) \text{ fam}}$$

$$\frac{\Gamma \vdash a : A \quad ((\Gamma.A).P).Q \vdash R \text{ fam}}{\Gamma.(P[a]) \vdash (Q.R)[a] \equiv Q[a].(R[a]) \text{ fam}}$$

Pre-substitution algebras

A **pre-substitution** for an extension algebra CFT is an extension homomorphism

$$\mathbf{s}(\text{CFT}) : T \times_{\partial, \text{ft}_2} \mathbf{F}_{\mathbf{F}_F} \rightarrow \mathbf{F}_{\text{CFT}}$$

for which the square

$$\begin{array}{ccc} T \times_{\partial, \text{ft}_2} \mathbf{F}_{\mathbf{F}_F} & \xrightarrow{\mathbf{s}(\text{CFT})} & \mathbf{F}_{\text{CFT}} \\ \partial \circ \pi_1(\partial, \text{ft}_2) \downarrow & & \downarrow \text{ft} \\ \mathbf{F} & \xrightarrow{\text{ft}} & \mathbf{C} \end{array}$$

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A **pre-substitution algebra** is an extension algebra together with a pre-substitution.

Pre-substitution homomorphisms

A **pre-substitution homomorphism** is an extension homomorphism $f : \text{CFT}' \rightarrow \text{CFT}$ for which the square

$$\begin{array}{ccc} T' \times_{\partial', ft_2'} \mathbf{F}_{\text{CFT}'} & \xrightarrow{f^t \times_{\partial, ft_2} \mathbf{F}_{\mathbf{F}_f}} & T \times_{\partial, ft_2} \mathbf{F}_{\text{CFT}} \\ \mathbf{s}(\text{CFT}') \downarrow & & \downarrow \mathbf{s}(\text{CFT}) \\ \mathbf{F}_{\text{CFT}'} & \xrightarrow{\mathbf{F}_f} & \mathbf{F}_{\text{CFT}} \end{array}$$

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The family pre-substitution algebra

Theorem

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The family pre-substitution algebra

Theorem

If CFT is a pre-substitution algebra, then so is $\mathbf{F}_{\mathbf{F}_{\text{CFT}}}$ with $\mathbf{s}(\mathbf{F}_{\text{CFT}})$ defined to be the unique extension homomorphism rendering the diagram

$$\begin{array}{ccccc}
 T_2 \times_{\partial_2, \text{ft}_3} \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\pi_2(e_0, \text{ft} \circ \partial) \times_{\partial, \text{ft}_2} \beta_2} & T \times_{\partial, \text{ft}_2} \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & & \\
 \downarrow \partial_2 \circ \pi_1(\partial_2, \text{ft}_3) & \searrow \mathbf{s}(\mathbf{F}_{\text{CFT}}) & \downarrow \mathbf{s}(\text{CFT}) & & \\
 & & \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\beta} & \mathbf{F}_{\text{CFT}} \\
 & & \downarrow \text{ft}_2 & & \downarrow \text{ft} \\
 F_2 & \xrightarrow{\text{ft}_2} & F & \xrightarrow{e_0} & C
 \end{array}$$

commutative.

Change of base of pre-substitution algebras

Theorem

Let CFT be a pre-substitution algebra and consider

$p : C \rightarrow X \leftarrow Y : g$. Then $Y \times_{g,p} \text{CFT}$ is a pre-substitution algebra

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$$\begin{array}{ccc}
 (Y \times_{g,p} \text{CFT}) \times_{g^*(\partial), g^*(ft_2)} \mathbf{F}_{Y \times_{g,p} \text{CFT}} & \xrightarrow{\quad} & T \times_{\partial, ft_2} \mathbf{F}_{\text{CFT}} \\
 \downarrow \mathbf{s}(Y \times_{g,p} \text{CFT}) & & \downarrow \mathbf{s}(\text{CFT}) \\
 \mathbf{F}_{Y \times_{g,p} \text{CFT}} & \xrightarrow{\quad} & \mathbf{F}_{\text{CFT}} \\
 \downarrow \pi_1(g, p \circ ft) & & \downarrow p \circ ft \\
 Y & \xrightarrow{\quad g \quad} & X
 \end{array}$$

$\pi_1(g, p \circ ft) \circ \pi_1(g^*(\partial), g^*(ft_2))$

commutative.

Substitution algebras

Thus, it makes sense to require that the pre-substitution operation itself is a pre-substitution homomorphism.

Definition

A **substitution algebra** is a pre-substitution algebra CFT with the property that $\mathbf{S}(\text{CFT})$ is a pre-substitution morphism.

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Rules for substitution: substitution is compatible with itself

$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash g : Q \quad ((\Gamma.A).P).Q \vdash R \text{ fam}}{(\Gamma.(P[a])). (Q[a]) \vdash R[g][a] \equiv R[a][g[a]] \text{ fam}}$$

Rules for substitution: substitution is compatible with itself

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$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash g : Q \quad (((\Gamma.A).P).Q).R \vdash S \text{ fam}}{((\Gamma.(P[a])). (Q[a])). (R[g][a]) \vdash S[g][a] \equiv S[a][g[a]] \text{ fam}}$$

$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash g : Q \quad (((\Gamma.A).P).Q).R \vdash k : S}{((\Gamma.(P[a])). (Q[a])). (R[g][a]) \vdash k[g][a] \equiv k[a][g[a]] : S[g][a]}$$

Joining the theories of projections and substitution

To join the two theories we have formulated on top of the theory of extension, we need to provide rules describing:

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- ▶ that weakening preserves substitution (weakening is a substitution homomorphism);
- ▶ that substitution preserves weakening (substitution is a weakening homomorphism);
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- ▶ that weakenings are constant families;

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- ▶ that substitution preserves weakening (substitution is a weakening homomorphism);
- ▶ that substitution preserves units (substitution is a projection homomorphism);
- ▶ that weakenings are constant families;
- ▶ everything is invariant with respect to precomposition with units.

Pre-E-systems

A **pre-E-system** is an extension algebra CFT with a weakening operation $\mathbf{w}(\text{CFT})$, units $\mathbf{i}(\text{CFT})$ and a substitution operation $\mathbf{s}(\text{CFT})$ giving it both the structure of a projection algebra and a substitution algebra, such that in addition

- ▶ Weakening is a substitution homomorphism;
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Pre-E-homomorphisms are extension homomorphisms which are both projection homomorphisms and substitution homomorphisms.

Rules: Weakened families are constant families

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma \vdash B \text{ fam} \quad \Gamma \vdash a : A}{\Gamma \vdash (\langle A \rangle B)[a] \equiv B \text{ fam}}$$

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$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash Q \text{ fam} \quad \Gamma \vdash a : A}{\Gamma.B \vdash (\langle A \rangle Q)[a] \equiv Q \text{ fam}}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma.B \vdash g : Q}{\Gamma.B \vdash (\langle A \rangle g)[a] \equiv g : Q}$$

Weakened families are constant families

In a pre-E-system, we say that **weakened families are constant families** if the diagram

$$\begin{array}{ccc} \mathcal{T} \times_{\text{ft} \circ \partial, \text{ft}} \mathbf{F}_{\text{CFT}} & \xrightarrow{[\text{id}_{\mathcal{T}}, \mathbf{w}(\text{CFT}) \circ (\partial \times_{\text{ft}, \text{ft}} \text{id}_{\mathbf{F}_{\text{CFT}}})]} & \mathcal{T} \times_{\partial, \text{ft}_2} \mathbf{F}_{\text{CFT}} \\ & \searrow_{\pi_2(\text{ft} \circ \partial, \text{ft})} & \downarrow \mathbf{s}(\text{CFT}) \\ & & \mathbf{F}_{\text{CFT}} \end{array}$$

commutes.

Rules: precomposition with a unit has no effect

$$\frac{\Gamma.A \vdash P \text{ fam}}{\Gamma.A \vdash (\langle A \rangle P)[\text{id}_A] \equiv P \text{ fam}}$$

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$$\frac{\Gamma.A \vdash P \text{ fam}}{\Gamma.A \vdash (\langle A \rangle P)[\text{id}_A] \equiv P \text{ fam}}$$

$$\frac{(\Gamma.A).P \vdash Q \text{ fam}}{(\Gamma.A).P \vdash (\langle A \rangle Q)[\text{id}_A] \equiv Q \text{ fam}}$$

$$\frac{(\Gamma.A).P \vdash g : Q}{(\Gamma.A).P \vdash (\langle A \rangle g)[\text{id}_A] \equiv g : Q}$$

Invariance with respect to precomposition with units

In a pre-E-system CFT we say that **everything is invariant with respect to precomposition with units** if the diagram

$$\begin{array}{ccccc}
 \mathbf{F}_{\mathbf{F}_{\text{CFT}}} & \xrightarrow{\quad} & \mathbf{F}_{F \times_{\text{ft}, \text{ft}} \mathbf{F}_{\text{CFT}}} & \xrightarrow{[\pi_1(\text{ft}, \text{ft}) \circ \text{ft}^*(\text{ft}_2), \mathbf{F}_{\mathbf{w}(\text{CFT})}]} & F \times_{\mathbf{w}(\text{CFT})_0 \Delta_{\text{ft}, \text{ft}_3}} \mathbf{F}_{\mathbf{F}_{\text{CFT}}} \\
 & \searrow & & & \downarrow [\mathbf{i}(\text{CFT}) \times_{\partial_2, \text{ft}_3} \text{id}_{\mathbf{F}_{\text{CFT}}}] \\
 & & & & T_2 \times_{\partial_2, \text{ft}_3} \mathbf{F}_{\mathbf{F}_{\text{CFT}}} \\
 & & & & \downarrow \mathbf{s}(\mathbf{F}_{\text{CFT}}) \\
 & & & & \mathbf{F}_{\mathbf{F}_{\text{CFT}}}
 \end{array}$$

commutes.

E-systems

An **E-system** is an extension algebra with

E-systems

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- ▶ a weakening operation and units, giving it the structure of a projection algebra;

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An **E-system** is an extension algebra with

- ▶ a weakening operation and units, giving it the structure of a projection algebra;
- ▶ a substitution operation, giving it the structure of a substitution algebra;
- ▶ (an empty context and empty families);

such that

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An **E-system** is an extension algebra with

- ▶ a weakening operation and units, giving it the structure of a projection algebra;
- ▶ a substitution operation, giving it the structure of a substitution algebra;
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such that

- ▶ Weakening is a substitution homomorphism;

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An **E-system** is an extension algebra with

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- ▶ Substitution is a projection homomorphism;

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- ▶ Weakening is a substitution homomorphism;
- ▶ Substitution is a projection homomorphism;
- ▶ Weakened families are constant families;

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- ▶ Weakening is a substitution homomorphism;
- ▶ Substitution is a projection homomorphism;
- ▶ Weakened families are constant families;
- ▶ Precomposition with identity functions leaves everything invariant.

E-systems

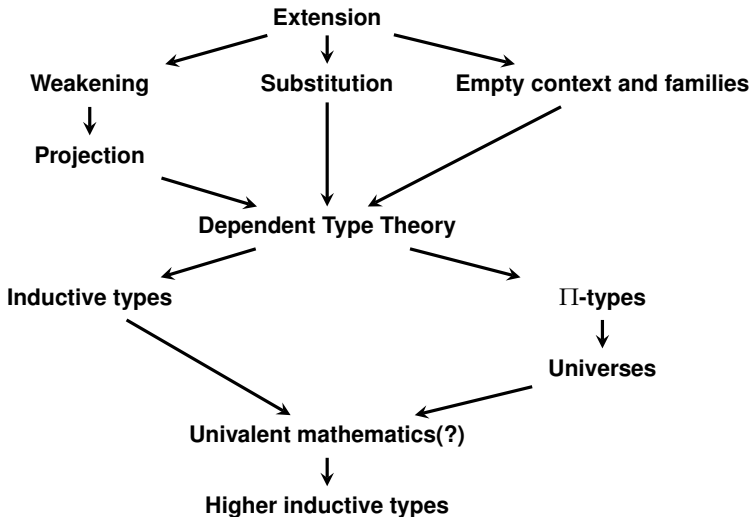
An **E-system** is an extension algebra with

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- ▶ a substitution operation, giving it the structure of a substitution algebra;
- ▶ (an empty context and empty families);

such that

- ▶ Weakening is a substitution homomorphism;
- ▶ Substitution is a projection homomorphism;
- ▶ Weakened families are constant families;
- ▶ Precomposition with identity functions leaves everything invariant.
- ▶ (The requirements regarding the empty context and families according to their rules)

Towards algebraic Homotopy Type Theory



Appendix: weakening and substitution preserve each other

Weakening preserves substitution:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad (\Gamma.B).Q \vdash R \text{ fam}}{(\Gamma.A).\langle A \rangle B \vdash \langle A \rangle (R[g]) \equiv (\langle A \rangle R)[\langle A \rangle g] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad ((\Gamma.B).Q).R \vdash S \text{ fam}}{((\Gamma.A).\langle A \rangle B).\langle A \rangle (R[g]) \vdash \langle A \rangle (S[g]) \equiv (\langle A \rangle S)[\langle A \rangle g] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad ((\Gamma.B).Q).R \vdash k : S}{((\Gamma.A).\langle A \rangle B).\langle A \rangle (R[g]) \vdash \langle A \rangle (k[g]) \equiv (\langle A \rangle k)[\langle A \rangle g] : \langle A \rangle (S[g])}$$

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$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad (\Gamma.B).Q \vdash R \text{ fam}}{(\Gamma.A).\langle A \rangle B \vdash \langle A \rangle (R[g]) \equiv (\langle A \rangle R)[\langle A \rangle g] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad ((\Gamma.B).Q).R \vdash S \text{ fam}}{((\Gamma.A).\langle A \rangle B).\langle A \rangle (R[g]) \vdash \langle A \rangle (S[g]) \equiv (\langle A \rangle S)[\langle A \rangle g] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma.B \vdash g : Q \quad ((\Gamma.B).Q).R \vdash k : S}{((\Gamma.A).\langle A \rangle B).\langle A \rangle (R[g]) \vdash \langle A \rangle (k[g]) \equiv (\langle A \rangle k)[\langle A \rangle g] : \langle A \rangle (S[g])}$$

Substitution preserves weakening:

$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam} \quad (\Gamma.A).P \vdash R \text{ fam}}{(\Gamma.(P[a])).\langle Q[a] \rangle \vdash (\langle Q \rangle R)[a] \equiv \langle Q[a] \rangle (R[a]) \text{ fam}}$$
$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam} \quad ((\Gamma.A).P).R \vdash S \text{ fam}}{((\Gamma.(P[a])).\langle Q[a] \rangle).\langle (\langle Q \rangle R)[a] \rangle \vdash (\langle Q \rangle S)[a] \equiv \langle Q[a] \rangle (S[a]) \text{ fam}}$$
$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam} \quad ((\Gamma.A).P).R \vdash k : S}{((\Gamma.(P[a])).\langle Q[a] \rangle).\langle (\langle Q \rangle R)[a] \rangle \vdash (\langle Q \rangle k)[a] \equiv \langle Q[a] \rangle (k[a]) : (\langle Q \rangle S)[a]}$$

Appendix: substitution preserves units

$$\frac{\Gamma \vdash a : A \quad (\Gamma.A).P \vdash Q \text{ fam}}{(\Gamma.(P[a])). (Q[a]) \vdash \text{id}_Q[a] \equiv \text{id}_{Q[a]} : \langle Q[a] \rangle Q[a]}$$

Appendix: the empty context and family

Introduction rules for the empty context and family:

$$\frac{}{\vdash [] \text{ ctx}} \quad \frac{\vdash \Gamma \text{ ctx}}{\Gamma \vdash []_{\Gamma} \text{ fam}} \quad \frac{\vdash \Gamma \equiv \Gamma' \text{ ctx}}{\Gamma \vdash []_{\Gamma} \equiv []_{\Gamma'} \text{ fam}}$$

Appendix: the empty context and family

Introduction rules for the empty context and family:

$$\frac{}{\vdash [] \textit{ctx}} \quad \frac{\vdash \Gamma \textit{ctx}}{\Gamma \vdash []_{\Gamma} \textit{fam}} \quad \frac{\vdash \Gamma \equiv \Gamma' \textit{ctx}}{\Gamma \vdash []_{\Gamma} \equiv []_{\Gamma'} \textit{fam}}$$

Every context induces a family in the empty context:

$$\frac{\vdash \Gamma \textit{ctx}}{[] \vdash i(\Gamma) \textit{fam}} \quad \frac{\vdash \Gamma \equiv \Delta \textit{ctx}}{[] \vdash i(\Gamma) \equiv i(\Delta) \textit{fam}}$$

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Compatibility rules for empty context and family with extension:

$$\frac{\vdash \Gamma \text{ ctx}}{\vdash [].i(\Gamma) \equiv \Gamma \text{ ctx}} \quad \frac{\vdash \Gamma \text{ ctx}}{\vdash \Gamma.[] \equiv \Gamma \text{ ctx}}$$
$$\frac{\Gamma \vdash A \text{ fam}}{\Gamma \vdash [].A \equiv A \text{ fam}} \quad \frac{\Gamma \vdash A \text{ fam}}{\Gamma \vdash A.[] \equiv A \text{ fam}}$$
$$\frac{[] \vdash A \text{ fam}}{[] \vdash i([],A) \equiv A \text{ fam}}$$

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$$\frac{\vdash \Gamma \text{ ctx}}{\vdash [].i(\Gamma) \equiv \Gamma \text{ ctx}} \quad \frac{\vdash \Gamma \text{ ctx}}{\vdash \Gamma.[] \equiv \Gamma \text{ ctx}}$$
$$\frac{\Gamma \vdash A \text{ fam}}{\Gamma \vdash [].A \equiv A \text{ fam}} \quad \frac{\Gamma \vdash A \text{ fam}}{\Gamma \vdash A.[] \equiv A \text{ fam}}$$
$$\frac{[] \vdash A \text{ fam}}{[] \vdash i([].A) \equiv A \text{ fam}}$$

and finally

$$\frac{\Gamma \vdash A \text{ fam}}{\vdash \Gamma.A \equiv i(\Gamma).A \text{ ctx}} \quad \frac{}{[] \vdash i([]) \equiv [][], \text{ fam}}$$

Appendix: weakening and the empty families

Weakening by the empty family:

$$\frac{\Gamma \vdash B \text{ fam}}{\Gamma \vdash \langle [] \rangle B \equiv B \text{ fam}}$$
$$\frac{\Gamma.B \vdash Q \text{ fam}}{\Gamma.B \vdash \langle [] \rangle Q \equiv Q \text{ fam}}$$
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Weakening of the empty family:

$$\frac{\Gamma \vdash A \text{ fam}}{\Gamma.A \vdash \langle A \rangle [] \equiv [] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma \vdash B \text{ fam}}{(\Gamma.A).\langle A \rangle B \vdash \langle A \rangle [] \equiv [] \text{ fam}}$$

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Weakening of the empty family:

$$\frac{\Gamma \vdash A \text{ fam}}{\Gamma.A \vdash \langle A \rangle [] \equiv [] \text{ fam}}$$
$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma \vdash B \text{ fam}}{(\Gamma.A).\langle A \rangle B \vdash \langle A \rangle [] \equiv [] \text{ fam}}$$

Compatibility of weakening of a family with weakening of a context:

$$\frac{\Gamma \vdash A \text{ fam} \quad \Gamma \vdash B \text{ fam}}{\Gamma.A \vdash \langle A \rangle B \equiv \langle A \rangle B \text{ fam}}$$

Appendix: substitution of an empty family

$$\frac{\Gamma \vdash a : A}{\Gamma \vdash [][a] \equiv [] \text{ fam}}$$
$$\frac{\Gamma \vdash a : A \quad \Gamma.A \vdash P \text{ fam}}{\Gamma.(P[a]) \vdash [][a] \equiv [] \text{ fam}}$$

Compatibility of substitution of a family with substitution of a context:

$$\frac{\Gamma.A \vdash P \text{ fam}}{\Gamma \vdash P[a] \equiv P[a] \text{ fam}}$$

Appendix: units act as identity functions

$$\frac{\Gamma \vdash a : A}{\Gamma \vdash \text{id}_A[a] \equiv a : A}$$

Note that the empty family is needed to have this rule.